

## **AML Anti-Money Laundering**

### **13. ANTI-MONEY LAUNDERING AND COUNTER-TERRORISM FINANCING FRAMEWORK**

#### **13.1 General.**

13.1.1 THESAURUM takes its obligations under relevant Anti- Money Laundering Legislation, Financial Crime Legislation, Terrorist Financing Legislation and Sanctions Legislation, rules, guidance and practice (“the Financial Crime Framework”) seriously and endeavours to align its operations with the purposes and provisions as promulgated by the Financial Crime Framework.

13.1.2 THESAURUM shall operate thorough monitoring, identification and verification programs to assist it in discharging relevant obligations within the Financial Crime Framework.

13.1.3 THESAURUM undertakes to be proactive in the fulfilment of its obligations under the Financial Crime Framework and as such will conduct ongoing, annual audit checks upon collected and held monitoring, identification and verification information to ensure compliance with relevant Financial Crime Framework Obligations.

13.1.4 THESAURUM undertakes to ensure that information retained in the discharge of THESAURUM’ obligations under the Financial Crime Framework is protected in accordance with relevant security requirements and standards.

13.1.5 THESAURUM reserves the right, and you hereby grant THESAURUM an absolute and irrevocable right to release any information collected by THESAURUM in order to discharge its obligations under the Financial Crime Framework to the relevant government authorities, regulatory bodies and/or other necessarily empowered organisations without notice provided the release of information is required to satisfy THESAURUM’s corresponding obligations s or is required under the Financial Crime Framework.

13.1.6 THESAURUM reserves the right to request from you any additional information that is required to allow THESAURUM to discharge relevant obligations under the Financial Crime Framework. Where THESAURUM has requested documentation from you, and you refuse to comply with the request whether, expressly, impliedly or by conduct; at such time of refusal, THESAURUM may revoke, suspend or terminate your access to THESAURUM Mint.

13.1.7 Where THESAURUM has retained, collected and/or recorded information pursuant to these Terms of Use, THESAURUM reserves the right to charge a reasonable administrative fee for a request from you to view any and all retained, collected or recorded information relating to you.

13.1.8 With respect to Clause 13.1.7, any information retained, collected and/or recorded by THESAURUM during its operations shall only be accessible by you on specific terms, unless otherwise required by applicable law.

13.1.9 You acknowledge and agree that THESAURUM may utilise electronic verification methods to verify your identity in order to discharge its obligations under the Financial Crime Framework. Where you do not wish THESAURUM to utilise electronic verification methods to verify your identity please contact THESAURUM in writing at its address for notice detailed in Clause 17.3 requesting an alternative means of verification.

13.1.10 Without limiting the aforementioned electronic verification methods as detailed

in Clause 13.1.9, THESAURUM may request a credit reporting agency, or any analogous organisation, to provide an assessment of whether the personal information provided to THESAURUM including within a completed Service Registration Form matches (in whole or part) personal information contained in a credit information file in the possession or control of the credit reporting agency. Alternatively, you acknowledge that THESAURUM may request a credit reporting agency, or any analogous organisation, to prepare and provide such an assessment.

13.1.11 With respect to Clause 13.1.10, you acknowledge that the credit reporting agency, or an analogous organisation, may use the personal information provided by you within a completed Service Registration Form for the purpose of making such an assessment.

13.1.12 Where THESAURUM is unable to verify your identity via electronic verification methods, THESAURUM shall contact you detailing said inability and provide all such reasonable information to you as is required, in addition to offering an alternative means of verification.